

1059 U.S. PTO
05/14/01

05-16-01

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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PTO

j1017 U.S. PTO
09/855860

05/14/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 02950.P012D
(maximum 12 characters)

First Named Inventor Joseph F. KHOURI

Title: A METHOD AND APPARATUS FOR PROCESSING A TELEPHONE CALL

Express Mail Label No. EL617180475US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 25)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 5)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies), or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Certificate of Express Mail with copy of postcard showing contents of package.

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 08/920,669 Examiner Ho, C. Group Art Unit 2153
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME André L. Marais Reg. No. P48,095

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

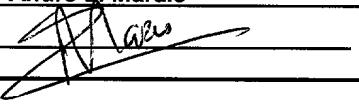
ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 947-8200 FAX (408) 947-8282

Name (PRINT/TYPE): André L. Marais Registration No.: P48,095

Signature:  Date: 05/16/01

**PRELIMINARY AMENDMENT FOR CONTINUING APPLICATION
(CONTINUATION, DIVISIONAL, OR CONTINUATION-IN-PART)**

First Named Inventor Joseph F. Khouri
Title A METHOD AND APPARATUS FOR PROCESSING A TELEPHONE CALL

Attorney Docket No. 02950P012D

Parent Application:

First Named Inventor Joseph F. KHOURI
Title METHOD AND APPARATUS FOR ESTABLISHING CONNECTIONS

Application No. 08/920,669 Examiner: Ho, C.
Filing Date August 29, 1997 Group Art Unit: 2153

Applicant(s): If the present patent application is a Continuation, Divisional, or Continuation-In-Part application under 37 CFR § 53(b) of a parent application, then submit this Preliminary Amendment to the PTO. Please insert the appropriate claim for priority, listing the entire chain of priority.

To: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

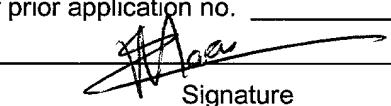
Please enter the following preliminary amendment for the present patent application.

IN THE SPECIFICATION:

At page 1, line 4, please insert the following:

-- The present patent application is a

Continuation Divisional Continuation-in-part (CIP)
of prior application no. 08/920,669, filed August 29, 1997, entitled A Method and Apparatus for Establishing Connections,
which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____.

05/14/01 _____ 

Date

Signature

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP André L. Marais
12400 Wilshire Blvd. Typed or Printed Name
Seventh Floor
Los Angeles, CA 90025-1026 P48,095
(408) 947-8200 Registration No.

FEE TRANSMITTAL FOR FY 2001TOTAL AMOUNT OF PAYMENT (\$) 710.00

Complete if Known:

Application No. Not Yet Assigned
 Filing Date Herewith
 First Named Inventor Joseph F. KHOURI
 Group Art Unit Not Yet Assigned
 Examiner Name Not Yet Assigned
 Attorney Docket No. 02950.P012D

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check

 Credit Card

 Money Order

 Other

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code 101	Fee Code 201	Utility application filing fee	<u>710.00</u>
710	355		_____
Fee Code 106	Fee Code 206	Design application filing fee	_____
320	160		_____
Fee Code 107	Fee Code 207	Plant filing fee	_____
490	245		_____
Fee Code 108	Fee Code 208	Reissue filing fee	_____
710	355		_____
Fee Code 114	Fee Code 214	Provisional application filing fee	_____
150	75		_____

SUBTOTAL (1) \$ 710.00**2. EXTRA CLAIM FEES**

<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>12</u> - 20** = <u>0</u>	X	= <u>0</u>
Independent Claims <u>3</u> - 3** = <u>0</u>	X	= <u>0</u>
Multiple Dependent		= <u> </u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee Description</u>		
Fee Code 103	Fee Code 203	Claims in excess of 20
18	9	
Fee Code 102	Fee Code 202	Independent claims in excess of 3
80	40	
Fee Code 104	Fee Code 204	Multiple dependent claim, if not paid
270	135	
Fee Code 109	Fee Code 209	**Reissue independent claims over original patent
80	40	
Fee Code 110	Fee Code 210	**Reissue claims in excess of 20 and over original patent
18	9	

SUBTOTAL (2) \$ 0**FEE CALCULATION (continued)**

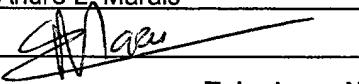
3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
Other fee (specify)			
Other fee (specify)			
		SUBTOTAL (3) \$0	

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: André L. Marais

Signature:  Date: 05/14/01

Reg. Number: P48,095 Telephone Number: (408) 947-8200

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

"Express Mail" mailing label number: EL6171810475US

Date of Deposit: May 14, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Judy Vayre II
(Typed or printed name of person mailing paper or fee)

Judy Vayre
(Signature of person mailing paper or fee)

5-14-01
(Date signed)

Serial/Patent No.: *** Filing/Issue Date: ***
Client: Aspect Communications
Title: A METHOD AND APPARATUS FOR PROCESSING A TELEPHONE CALL

BSTZ File No.: 02950P012D Atty/Secty Initials: ALM/VXM/lr
Date Mailed: 5-14-01 Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|---|---|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input checked="" type="checkbox"/> Express Mail No : <u>EL617180475US</u> | <input checked="" type="checkbox"/> Check No. <u>92671</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> Month(s) Extension of Time | Amt: <u>710.00</u> |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO-144 (3 pgs.) | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt. _____ |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Divisional (<u>25</u> pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input checked="" type="checkbox"/> Preliminary Amendment (<u>8</u> pgs.) | |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) (copy) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Disclosure Docs & Org & Copy of Inventor's Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate | |
| <input checked="" type="checkbox"/> Drawings: <u>5</u> # of sheets includes <u>5</u> figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate | |

Other: Copy of cited art (11 ref.) and Certificate of Express Mail with copy of postcard showing contents of package.